



1-22-04
IMAGE
FEE TRANSMITTAL

Application Number 09/868,437
Filing Date June 14, 2001
Confirmation No. Unknown
Inventor(s) John P. McKearn et al.
Attorney Docket Number PHA 2011.3 (3167/9Z/US)

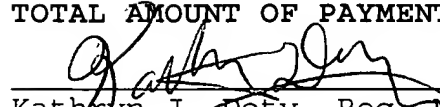
METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
- * 2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☐ BASIC FILING FEE Subtotal (1) \$ _____
(Type: _____)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ _____
- Total Claims _____
Independent Claims _____
Multiple Dependent Claims _____
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 180.00
- ☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☒ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 180.00


Kathryn J. Doty, Reg. No. 40,593

January 21, 2004
Date

KJD/msc
*Enclosure

Express Mail Label No. EV 324379127 US



PHA 2011.3 (3167/9Z/US)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of John P. McKearn et al.

Serial No. 09/868,437

Filed June 14, 2001

Confirmation No. Unknown

For USE OF A MATRIX METALLOPROTEINASE INHIBITOR AND AN INTEGRIN
ANTAGONIST AS A COMBINATION THERAPY IN THE TREATMENT OF
NEOPLASIA

January 21, 2004

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

Please note that Applicants make no representation as to the accuracy or completeness of the translation of Reference No. 235 submitted herewith.

In preparation of this Supplemental Information Disclosure Statement, Applicants determined that approximately 1,000 references were cited in the application. In an effort to reduce the burden on the Office, each reference was briefly reviewed by an attorney. This review eliminated any reference that was determined to be irrelevant or merely cumulative. If the Office

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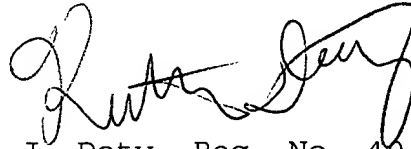
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would like a copy of the eliminated references, they can be provided at the Office's request.

- * A check in the amount of \$180.00 is enclosed to cover the fee specified in 37 CFR §1.17(p) for submission of this Supplemental Information Disclosure Statement. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.
- * Also enclosed is Applicants' executed Power of Attorney.

Respectfully submitted,



Kathryn J. Doty, Reg. No. 40,593
SENNIGER, POWERS, LEAVITT & ROEDEL
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St. Louis, Missouri 63102
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KJD/msc
*Enclosures

Express Mail Label No. EV 324379127 US

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|---|---|----|---|--------------------------|-------------------------|
| PTO/SB/08A SUPPLEMENTAL DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 09/868,437 |
| | | | | Filing Date | June 14, 2001 |
| | | | | Confirmation Number | Unknown |
| | | | | First Named Inventor | John P. McKearn et al. |
| | | | | Group Art Unit | Unknown |
| | | | | Examiner Name | |
| Sheet | 1 | of | 3 | Attorney Docket No. | PHA 2011.3 (3167/9Z/US) |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|----------------------|--------------------------------------|---|---|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | | Number | Kind Code ² (if known) | | |
| | 212. | 6,077,850 | | Carter et al. | 06-20-2000 |
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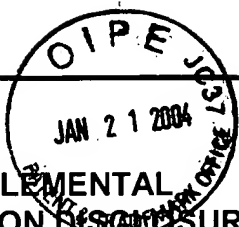
| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-----------------------|-------------------------|---------------------|--------------------------------------|---|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ⁶ |
| | | Office | Number ⁴ | Kind Code ² (if known) | | | |
| | ✓ 218. | EP | 1 081 137 | A1 | Pfizer Products Inc. | 03-07-2001 | |
| | ✓ 219. | EP | 1 088 550 | A1 | Pfizer Products Inc. | 04-04-2001 | |
| | ✓ 220. | EP | 1 134 215 | A1 | Pfizer Products Inc. | 09-19-2001 | |
| | ✓ 221. | EP | 1 138 680 | A1 | Pfizer Products Inc. | 10-04-2001 | |

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|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached.

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| | | | | Application Number | 09/868,437 |
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| | | | | Confirmation Number | Unknown |
| | | | | First Named Inventor | John P. McKearn et al. |
| | | | | Group Art Unit | Unknown |
| Examiner Name | | | | | |
| Sheet | 2 | of | 3 | Attorney Docket No. | PHA 2011.3 (3167/9Z/US) |

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| <input checked="" type="checkbox"/> | 222. | WO | 97/20824 | A1 | Agouron Pharmaceuticals, Inc. | 06-12-1997 | |
| <input checked="" type="checkbox"/> | 223. | WO | 98/03516 | A1 | Pfizer Inc. | 01-29-1998 | |
| <input checked="" type="checkbox"/> | 224. | WO | 98/30566 | A1 | Pfizer Inc. | 07-16-1998 | |
| <input checked="" type="checkbox"/> | 225. | WO | 98/47890 | A1 | G.D. Searle & Co. | 10-29-1998 | |
| <input checked="" type="checkbox"/> | 226. | WO | 00/09485 | A1 | Pfizer Products Inc. | 02-24-2000 | |
| <input checked="" type="checkbox"/> | 227. | WO | 00/09492 | A1 | Pfizer Products Inc. | 02-24-2000 | |
| <input checked="" type="checkbox"/> | 228. | WO | 00/73294 | A2 | Pfizer Products Inc. | 12-07-2000 | |
| <input checked="" type="checkbox"/> | 229. | WO | 01/12611 | A1 | Pfizer Products Inc. | 02-22-2001 | |
| <input checked="" type="checkbox"/> | 230. | WO | 01/40216 | A1 | Pfizer Products Inc. | 06-07-2001 | |

OTHER ART - NON PATENT LITERATURE DOCUMENTS

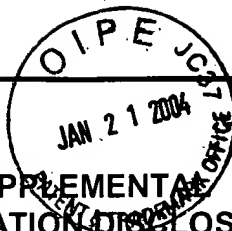
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
|-------------------------------------|-----------------------|--|----------------|
| <input checked="" type="checkbox"/> | 231. | BARNI, S., et al., Clinical Efficacy of the Aromatase Inhibitor Anastrozole in Relation to Prolactin Secretion in Heavily Pretreated Metastatic Breast Cancer, Tumori, 1998, pp. 45-47, Vol. 84, No. 1 | |
| <input checked="" type="checkbox"/> | 232. | COMIS, R.L., et al., Small-Cell Lung Cancer: A Perspective on the Past and a Preview of the Future, Oncology, Jan. 1998, pp. 44-50, Vol. 12, Suppl. No. 2 | |
| <input checked="" type="checkbox"/> | 233. | CRANE, C.H., M.D., et al., Initial Experience Combining Cyclooxygenase-2 Inhibition with Chemoradiation for Locally Advanced Pancreatic Cancer, Am. J. Clin. Oncol. (CCT), 2003, pp. S81-S84, Vol. 26, No. 4, Suppl. No. 2 | |
| <input checked="" type="checkbox"/> | 234. | LIN, E., M.D., et al., Effect of Celecoxib on Capecitabine-Induced Hand-Foot Syndrome and Antitumor Activity, Oncology, Dec. 2002, pp. 31-37, Vol. 16, No. 12, Suppl. | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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| | | | |
|---|------|--|---|
| ✓ | 235. | MULLER-BOHN, T., et al., (1997) Deutsche Apotheker Zeitung , pp. 54-55, Vol. 137, No. 41 | ✓ |
| ✓ | 236. | SMITH, S.E., et al., Preliminary report of a phase II trial of gemcitabine combined with celecoxib for advanced pancreatic cancer, Proc. Am. Soc. Clin. Oncol., 2003, p. 374 (abstr 1502), Vol. 22 | A |

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